

SHEET A with regard to form ZS-RE1



DIVIDENDS

All statements are mandatory. Please consider that incomplete statements will delay the handling of your claim.

A. General information

<p>1. Did you maintain a fiscal domicile in Austria at the date(s) when the dividends stated overleaf were received? If so, please state address:</p> <p>Is this your main residence?</p>	<p><input type="checkbox"/> <input type="checkbox"/> yes no</p> <p><input type="checkbox"/> <input type="checkbox"/> yes no</p>
<p>2. Were the dividends received by a permanent establishment located in Austria or by an Austrian partnership where the claimant holds an interest in?</p>	<p><input type="checkbox"/> <input type="checkbox"/> yes no</p>
<p>3. Was the claimant at the date(s) the dividends stated overleaf were received entitled to the use of the shares from which the dividends are derived and did the claimant receive these yields on his/her/its own account?</p>	<p><input type="checkbox"/> <input type="checkbox"/> yes no</p>
<p>4. Were the shares - for which a repayment of withholding tax is claimed - sold, rented or pensioned during the year of the dividend distribution?</p>	<p><input type="checkbox"/> <input type="checkbox"/> yes no</p>
<p>5. Did the claimant receive the shares listed overleaf on the basis of a contract, option or other agreement whereby he/she/it could be bound to resell or otherwise transfer these or similar capital assets?</p>	<p><input type="checkbox"/> <input type="checkbox"/> yes no</p>
<p>6. Does the claim for repayment concern dividends on the basis of a profit distribution of a company listed on a stock exchange?</p> <p>If yes, enclose documentation stating on which securities account the shares concerned have been noted on the day before the ex-day (day on which the share is not traded any more with the right to claim the dividends) and who the owner of the securities account was at this point of time. Additionally, enclose documentation of all the securities account transactions of the shares for the period starting one month before and ending one month after the ex-day.</p>	<p><input type="checkbox"/> <input type="checkbox"/> yes no</p>
<p>7. In case a repayment according to sec. 21 para. 1 subpara. 1a KStG 1988 is claimed, enclose a proof stating that the withholding tax cannot be credited in your State of residence. A certification of the State of residence's tax authorities or a notice of assessment, which documents that the Austrian capital yields tax cannot be credited, may e.g. serve as a proof.</p>	
<p>8. In case a repayment is claimed on the basis of the exemption according to sec. 6 KStG 1988 for foreign entities according to sec. 5 para. 4 Pensionskassengesetz, enclose to the first respective repayment claim a proof of admission according to the directive 2003/41/EG of the foreign pension fund (claimant) by the regulating authority of its State of residence and the statutes of the foreign pension fund (claimant). In case of a subsequent claim an up-to-date proof of admission according to the directive 2003/41/EC of the foreign pension fund (claimant) by the regulating authority of its State of residence has to be submitted if the last submitted regulating authorities' proof of the foreign pension fund has been issued for a period dating back more than three years.</p>	

B. Special information in the case of legal persons

<p>1. Does the legal person making the claim carry out a business activity which is beyond the scope of enjoyment of capital assets? If so, please indicate the type of business:</p>	<p><input type="checkbox"/> <input type="checkbox"/> yes no</p>
<p>2. Does the legal person making the claim employ its own employees and does it have its own business premises to carry out business activities?</p>	<p><input type="checkbox"/> <input type="checkbox"/> yes no</p>
<p>3. Did shareholders who are residents of Austria at the day of accrual of the dividends stated overleaf hold an interest of more than 10%?</p>	<p><input type="checkbox"/> <input type="checkbox"/> yes no</p>





C. Computation of repayment claim (all amounts in Euro)

Description of the Austrian company	Amount of shares or participation in %	Date of acquisition	Date of profit distribution resolution of the dividends (Gewinnverwendungsbeschluss)	Date of accrual of the income	Date of Ex-Day ¹⁾	Gross earnings in Euro	Repayment claim in %	Amount of repayment
Total gross earnings						Euro	Total amount of repayment	Euro

D. Declaration by the claimant

I declare that to the best of my knowledge the above-mentioned statements are correct and complete. I recognize that the statements will be verified and that incomplete or incorrect statements are punishable. In case I retrospectively recognize that the above-mentioned statements are incorrect or incomplete, I will notify the Tax Office without delay (sec. 139 Bundesabgabenordnung).

Place and Date

Signature

¹⁾ Only to be mentioned in case of a company listed on a stock exchange